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**NOTE**

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Subject:	Proposal for a Regulation on the establishment of the European Public Prosecutor's Office - Outcome of proceedings from Council

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Following the discussions in Council on 9 October 2015, delegations will find in Annex a consolidated version of Articles 24, 25, 26, 26a, 27, 28, 29, 30, 31, 32, 33 and 35 in the draft Regulation.

The Council expressed a very large support for this consolidated version, on the understanding that the details of these articles will need to be re-examined, once the Council has reached an agreement in principle on the full text of the Regulation.

Draft

**COUNCIL REGULATION**

**on the establishment of the European Public Prosecutor's Office**

[...]

*Article 24*

**Lifting privileges or immunities**

1. Where the investigations of the European Public Prosecutor's Office involve persons protected by privileges or immunities under national law, and such privilege or immunity presents an obstacle to a specific investigation being conducted, the European Chief Prosecutor shall make a reasoned written request for its lifting in accordance with the procedures laid down by that national law.
2. Where the investigations of the European Public Prosecutor's Office involve persons protected by privileges or immunities under the law of the European Union, in particular the Protocol on the privileges and immunities of the European Union, and such privilege or immunity presents an obstacle to a specific investigation being conducted, the European Chief Prosecutor shall make a reasoned written request for its lifting in accordance with the procedures laid down by Union law.

## SECTION 2

### RULES ON INVESTIGATION MEASURES AND OTHER MEASURES

#### *Article 25*

#### **Investigation measures and other measures**

1. At least in cases where the offence subject to the investigation is punishable by a maximum penalty of at least four years of imprisonment, Member States shall ensure that the European Delegated Prosecutors are entitled to order or request the following investigation measures:
  - a) search any premises, land, means of transport, private home, clothes and any other personal property or computer system, and take any conservatory measures necessary to preserve their integrity or to avoid the loss or contamination of evidence;
  - b) obtain the production of any relevant object or document either in original or in some other specified form;
  - bb) obtain the production of stored computer data, including banking account data, encrypted or decrypted, either in original or in some other specified form;
  - c) freeze instrumentalities or proceeds of crime, including freezing of assets, which are expected to be subject to confiscation by the trial Court and where there is reason to believe that the owner, possessor or controller will seek to frustrate the judgement ordering confiscation;
  - d) intercept electronic communications to and from the suspected or accused person, on any electronic communication connection that the suspected or accused person is using<sup>1</sup>;
  - e) preserve traffic data in respect of electronic communications.
- 1a. Without prejudice to Article 24, the investigation measures set out in paragraph 1 may be subject to conditions in accordance with the applicable national law if the latter are explicitly foreseen for specific categories of persons or professionals legally bound by an obligation of confidentiality.

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<sup>1</sup> MT wishes to see this point deleted.

- 1b. The investigation measures set out in paragraph 1(bb) and (d) may be subject to further conditions foreseen by the applicable national law. In particular, Member States may limit the application of paragraph 1(d) to specific serious offences<sup>2</sup> as listed in Annex X<sup>3</sup>. This Annex shall be updated in accordance with the procedure provided in Article Z.
2. The European Delegated Prosecutors shall, in addition to the measures referred to in paragraph 1, be entitled to request or to order any other measures in their Member State which are available<sup>4</sup> to prosecutors under national law in similar national cases.
3. The European Delegated Prosecutors may only order the measures referred to in paragraphs 1 and 2 where there are reasonable grounds to believe that the specific measure in question might provide information or evidence useful to the investigation, and where there is no less intrusive measure available which could achieve the same objective. The procedures and modalities for taking the measures shall be governed by the applicable national law.

#### *Article 26*

#### ***Cross-border investigations***

1. The European Delegated Prosecutors shall act in close cooperation by assisting and regularly consulting each other in cross-border cases. Where a measure needs to be undertaken in a Member State other than the Member State of the European Delegated Prosecutor handling the case, the latter shall decide on the adoption of the necessary measure and assign it to a European Delegated Prosecutor located in the Member State where that measure needs to be carried out.
2. The European Delegated Prosecutor handling the case may assign any measures, which are available to him or her in accordance with Article 25. The adoption and justification of such measures shall be governed by the law of the Member States of the European Delegated Prosecutor handling the case. Where the European Delegated Prosecutor handling the case assigns an investigation measure to one or several European Delegated Prosecutors of another Member State, he shall at the same time inform his supervising European Prosecutor.

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<sup>2</sup> A recital will be added as follows : “In order to ensure effective investigations in particular in cross-border cases, the EPPO should be able to rely on a list of investigative measures available to the EPPO in all Member States. These shall include the interception of electronic communications at least for specific serious offences.

<sup>3</sup> This list will be established following notifications made by Member States.

<sup>4</sup> A recital similar to recital 10 in the EIO directive could give an explanation of the term "available".

3. If a judicial authorisation for the measure is required under the law of the Member State of the assisting European Delegated Prosecutor such authorisation shall be obtained by the latter in accordance with the law of that Member State.

If judicial authorisation for the assigned measure is refused<sup>5</sup>, the European Delegated Prosecutor handling the case shall withdraw the assignment.

However, where the law of the Member State of the assisting European Delegated Prosecutor does not require such a judicial authorisation, but the law of the Member State of the European Delegated Prosecutor handling the case requires it, the authorisation shall be obtained by the latter European Delegated Prosecutor and submitted together with the assignment.

4. The assisting European Delegated Prosecutor shall undertake the assigned measure, or instruct the competent national authority to do so.
5. Where the assisting European Delegated Prosecutor considers that:
  - a) the assignment is incomplete or contains a manifest relevant error,
  - b) the measure cannot be undertaken within the time limit set out in the assignment for justified and objective reasons,
  - c) an alternative but less intrusive measure would achieve the same results as the measure assigned, or
  - d) the assigned measure does not exist or would not be available in a similar domestic case under the law of his or her Member State,

he or she shall inform his supervising European Prosecutor and consult with the European Delegated Prosecutor handling the case in order to resolve the matter bilaterally.

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<sup>5</sup> With 'refused' here is understood the final refusal, i.e. the refusal after all legal remedies have been exhausted. This will be clarified in a recital.

6. If the assigned measure does not exist in a purely domestic situation, but would be available in a cross-border situation covered by legal instruments on mutual recognition or cross-border cooperation, the European Delegated Prosecutors concerned may, in agreement with the supervising European Prosecutors concerned, have recourse to such instruments.<sup>6</sup>
7. If the European Delegated Prosecutors cannot resolve the matter within 7 working days and the assignment is maintained, the matter shall be referred to the competent Permanent Chamber. The same applies where the assigned measure is not undertaken within the time limit set out in the assignment or within a reasonable time.
8. The competent Permanent Chamber shall to the extent necessary hear the European Delegated Prosecutors concerned by the case and then decide, in accordance with applicable national law as well as this Regulation, without undue delay whether and by when the assigned measure needed, or a substitute measure, shall be undertaken by the assisting European Delegated Prosecutor, and communicate this decision through the competent European Prosecutor.

#### *Article 27*

#### **Enforcement of assigned measures**

The assigned measures shall be carried out in accordance with this Regulation and the law of the Member State of the assisting European Delegated Prosecutor. Formalities and procedures expressly indicated by the handling European Delegated Prosecutor shall be complied with unless such formalities and procedures are contrary to the fundamental principles of law of the Member State of the assisting European Delegated Prosecutor.

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<sup>6</sup> The following recital will be inserted in the Preamble: *The possibility to have recourse to legal instruments on mutual recognition or cross-border cooperation is not meant to replace the provision on cross-border investigations under this Regulation. It rather supplements it to ensure that cross-border measures which don't exist in national law for a purely domestic situation can be used in accordance with national law implementing the relevant instrument, when conducting the investigation or prosecution.*

*Article 28*<sup>7</sup>

**Pre-trial arrest and cross-border surrender**

1. The European Delegated Prosecutor handling the case may order or request the arrest or pre-trial detention of the suspected or accused person in accordance with national law applicable in similar domestic cases.
2. Where the arrest and surrender of a person who is not present in the Member State in which the European Delegated Prosecutor handling the case is located is necessary, the latter shall issue or request the competent authority of that Member State to issue a European Arrest Warrant<sup>8</sup> in accordance with Council Framework Decision 2002/584/JHA on the European Arrest Warrant and the surrender procedures between Member States.

*Article 28a*<sup>9</sup>

**Referrals and transfers of proceedings to the national authorities**

1. Where an investigation conducted by the European Public Prosecutor's Office reveals that the facts subject to investigation do not constitute a criminal offence for which it has a competence in accordance with Articles 17 and 18, the competent Permanent Chamber shall decide<sup>10</sup> to refer<sup>11</sup> the case without undue delay to the competent national authorities.

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<sup>7</sup> The Presidency suggests to include the following recital: "*Article 28 is without prejudice to the specific procedures in Member States where judicial authorisation is not required for the initial arrest of a suspect*".

<sup>8</sup> A recital indicating that a procedure equivalent to a European Arrest Warrant may also be used will be considered. NL has suggested that it should be indicated in a recital that the EPPO will only be entitled to issue or request Arrest Warrants within its area of competence.

<sup>9</sup> Ex Article 21.

<sup>10</sup> It is the understanding of the Presidency that the decision-making power of the chamber referred to in paragraph 1 needs to be inserted in the Article dealing with the decision-making powers of the chamber (currently Article 9(3)).

<sup>11</sup> A recital should be added explaining that in case of a referral by the EPPO national authorities shall preserve full prerogatives under national law to open, continue or to dismiss the investigation.

2. Where an investigation conducted by the European Public Prosecutor's Office reveals that the specific conditions for the exercise of its competence set out in Article 20(6) are no longer met, the competent Permanent Chamber shall decide to refer the case to the competent national authorities without delay and before initiating prosecution before national Courts.
3. Where the European Public Prosecutor's Office considers a dismissal in accordance with Article 33(3), and if the national authority so requires, the Permanent Chamber shall refer the case without delay to the latter.
4. If, following a referral in accordance with paragraphs (1), (2) or (2a), the national authority decides to open an investigation, the European Public Prosecutor's Office shall transfer the file to that national authority, refrain from taking further investigative or prosecutorial measures and close the case.
5. If a file is transferred in accordance with paragraph (1), (2) or (2a), the European Public Prosecutor's Office shall inform the relevant Union institutions, bodies and agencies, as well as, where appropriate in accordance with national law, suspects or accused persons and the crime victims, thereof. The cases dismissed may also be referred to OLAF or to competent national administrative or judicial authorities for recovery or other administrative follow-up.



## SECTION 3<sup>12</sup>

### RULES ON PROSECUTION

#### *Article 29*

##### **Termination of the investigation**

1. When the European Delegated Prosecutor handling the case considers the investigation to be completed, he/she shall submit a report to the supervising European Prosecutor, containing a summary of the case and a draft decision whether to prosecute before a national Court or to consider a referral of the case, a dismissal or a transaction in accordance with Article 28a, 33 or [34]. The supervising European Prosecutor shall forward those documents to the competent Permanent Chamber accompanied, if he/she considers it necessary, by his/her own assessment. When the Permanent Chamber, in accordance with Article 9(3), takes the decision as proposed by the European Delegated Prosecutor, he/she shall pursue the matter accordingly<sup>13</sup>.
2. If the Permanent Chamber, based on the reports received, considers not to take the decision as proposed by the European Delegated Prosecutor, it shall, where necessary, undertake its own review of the case file before taking a final decision or giving further instructions to the European Delegated Prosecutor.
3. Where applicable, the report of the European Delegated Prosecutor shall also provide sufficient reasoning for bringing the case to judgment either at a Court of the Member State where he/she is located, or, in accordance with Article 23(3) at a Court of a different Member State which has jurisdiction over the case.

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<sup>12</sup> PT and SI have emitted reservations on several provisions in sections 3 and 4, with reference to constitutional concerns.

<sup>13</sup> A recital clarifying that the European Prosecutor will, in the context of this provision, retain all the powers to give specific instructions to the European Delegated Prosecutor provided for in Article 11(2) will be added to the preamble.

*Article 30*

**Prosecution before national Courts**

1. When the competent Permanent Chamber takes a decision to prosecute following the procedures set out in Article 29, the European Delegated Prosecutor shall bring the case to judgment at a competent Court of his/her Member State.
2. When more than one Member State has jurisdiction over the case, the Permanent Chamber shall in principle decide to bring the case to prosecution in the Member State of the European Delegated Prosecutor handling the case. The Permanent Chamber may, taking into account the report provided in accordance with Article 29(1), decide to bring the case to prosecution in a different Member State, if there are sufficiently justified grounds to do so, taking into account the criteria set out in Article [22(3)] and [22(4)], and instruct a European Delegated Prosecutor of that Member State accordingly.
3. Before deciding to bring a case to judgment, the competent Permanent Chamber may, on the proposal of the European Delegated Prosecutor handling the case, decide to join several cases, where investigations have been conducted by different European Delegated Prosecutors against the same person(s) with a view to prosecution of these cases at the Court of one Member State which, in accordance with its law, has jurisdiction for each of these cases.
4. Once a decision on the Member State in which the prosecution shall be brought has been taken, the competent national Court within that Member State shall be determined on the basis of national law.
5. Where necessary for the purposes of recovery, administrative follow-up or monitoring, the Central Office shall notify the competent national authorities, the interested persons and the relevant Union institutions, bodies and agencies of the decision to prosecute.

6. Where, following a judgment of the Court, the prosecution has to decide whether to lodge an appeal, the European Delegated Prosecutor shall submit a report including a draft decision to the competent Permanent Chamber and await its instructions. Should this be impossible within the deadline set by national law, the European Delegated Prosecutor shall be entitled to lodge the appeal without prior instructions of the Permanent Chamber, and shall subsequently submit the report to the Permanent Chamber without delay. The Permanent Chamber shall then instruct the European Delegated Prosecutor to either maintain or withdraw the appeal. The same procedure shall apply when, in the course of the Court proceedings and in accordance with applicable national law, the European Delegated Prosecutor handling the case would take a position which would lead to the dismissal of the case.<sup>14</sup>

### *Article 31*

### **Evidence**<sup>15</sup>

1. Evidence presented by the prosecutors of the European Public Prosecutor's Office or the defendant to a Court shall not be denied admission on the mere ground that the evidence was gathered in another Member State or in accordance with the law of another Member State. Where the law of the Member State of the trial Court requires that the latter examines the admissibility of evidence, it shall ensure it is satisfied that its admission would not be incompatible with Member States obligations to respect the fairness of the procedure, the rights of defense, or other rights as enshrined in the Charter, in accordance with Article 6 TEU.

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<sup>14</sup> A recital clarifying that the European Prosecutor should, in the context of this provision, be consulted and retain all the powers to give specific instructions to the European Delegated Prosecutor provided for in Article 11(2) will be added to the preamble.

<sup>15</sup> A recital inspired by recital 39 in the EIO Directive will be inserted in the preamble, with the following tentative wording: '*This Regulation respects the fundamental rights and observes the principles recognised by Article 6 of the TEU and in the Charter, notably Title VI thereof, by international law and international agreements to which the Union or all the Member States are party, including the European Convention for the Protection of Human Rights and Fundamental Freedoms, and in Member States' constitutions in their respective fields of application. In line with these principles, and in respecting the different legal systems and traditions of the Member States as provided in Article 67(1) TFEU, nothing in this Regulation may be interpreted as prohibiting the trial Court from applying fundamental principles of national law on fairness of the procedure as they apply in common law systems such as in the framework of a trial by jury*'.

2. The power of the trial Court to freely assess the evidence presented by the defendant or the prosecutors of the European Public Prosecutor's Office shall not be affected by this Regulation.

#### *Article 32*

##### **Disposition of the confiscated assets**

Where, in accordance with the requirements and procedures laid down by national law including the national law implementing Directive 2014/42 of the European Parliament and of the Council of 3 April 2014 on the freezing and confiscation of instrumentalities and proceeds of crime in the European Union, the competent national Court has decided by a final ruling to confiscate any property related to, or proceeds derived from, an offence within the competence of the European Public Prosecutor's Office, such assets or proceeds shall be disposed of in accordance with applicable national law. This disposition shall not negatively affect the rights of the Union or other victims to be compensated for their damage<sup>16</sup>.

#### **SECTION 4**

##### **RULES ON ALTERNATIVES TO PROSECUTION**

#### *Article 33*

##### **Dismissal of the case<sup>17</sup>**

1. The Permanent Chamber shall, based on a report provided by the European Delegated Prosecutor handling the case in accordance with Article 29(1), decide to dismiss the case against a person where prosecution has become impossible, pursuant to the law of the Member State of the European Delegated Prosecutor handling the case, on account of any of the following grounds<sup>18</sup>:

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<sup>16</sup> A recital will be considered to clarify the relation between this provision and compensation of the EU budget on the basis of administrative recovery.

<sup>17</sup> PT considers that this provision does not respect the principle of subsidiarity.

<sup>18</sup> CZ has emitted a general reservation on the list of grounds.

- a) death of the suspect or accused person or winding up of a suspect or accused legal person;
  - aa) insanity of the suspect or accused person;
  - b) amnesty granted to the suspect or accused person;
  - c) immunity granted to the suspect or accused person, unless it has been lifted;
  - d) expiry of the national statutory limitation to prosecute;
  - e) the case has already been finally disposed of in relation to the acts;
  - f) lack of relevant evidence<sup>19</sup>.
2. A decision in accordance with paragraph 1 shall not bar further investigations on the basis of new facts which were not known to the European Public Prosecutor's Office at the time of the decision, and which become known thereafter. The decision to reopen investigations on the basis of such new facts shall be taken by the competent Permanent Chamber<sup>20</sup>.
  3. Where the European Public Prosecutor's Office is competent in accordance with Article [17(2)], it shall dismiss a case only after consultation with the national authorities of the Member State referred to in Article [17(3)]. If applicable, the Permanent Chamber shall refer the case to the competent national authorities in accordance with Article 28a (3) to (5).
  4. Where a case has been dismissed, the European Public Prosecutor's Office shall officially notify the competent national authorities and shall inform the relevant Union institutions, bodies and agencies, as well as, where appropriate in accordance with national law, suspects or accused persons and the crime victims, thereof<sup>21</sup>. The dismissed cases may also be referred to OLAF or to competent national administrative or judicial authorities for recovery or other administrative follow-up.

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<sup>19</sup> MT has emitted a reservation on this provision.

<sup>20</sup> It is the understanding of the Presidency that the decision-making power of the chamber referred to in paragraph 2 needs to be inserted in the Article dealing with the decision-making powers of the chamber (currently Article 9(3)).

<sup>21</sup> This provision is without prejudice to further information obligations according to national law.

## CHAPTER V

### PROCEDURAL SAFEGUARDS

#### *Article 35<sup>22</sup>*

##### **Scope of the rights of the suspects and accused persons**

1. The activities of the European Public Prosecutor's Office shall be carried out in full compliance with the rights of suspects and accused persons enshrined in the Charter of Fundamental Rights of the European Union, including the right to a fair trial and the rights of defence.
2. Any suspect and accused person in the criminal proceedings of the European Public Prosecutor's Office shall, as a minimum, have the procedural rights as they are provided for in Union law, including directives concerning the rights of suspects and accused persons in criminal procedures, such as:
  - (a) the right to interpretation and translation, as provided for in Directive 2010/64/EU of the European Parliament and of the Council of 20 October 2010 on the right to translation and interpretation in criminal proceedings,
  - (b)<sup>23</sup> the right to information and access to the case materials, as provided for in Directive 2012/13/EU of the European Parliament and of the Council of 22 May 2012 on the right to information in criminal proceedings,
  - (c) the right of access to a lawyer and the right to communicate with and have third persons informed in case of detention, as provided for in Directive 2013/48/EU of the European Parliament and of the Council of 22 October 2013 on the right of access to a lawyer in criminal proceedings and in European arrest warrant proceedings, and on the right to have a third party informed upon deprivation of liberty and to communicate with third persons and with consular authorities while deprived of liberty,

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<sup>22</sup> Commission has emitted a general reservation on this provision.

<sup>23</sup> AT and DE raised a general question on the right to access to information and access to the case material by the suspects and accused persons. The Presidency considers that this matter should be addressed in the section concerning processing of information (Articles 20 to 24 of the Commission's initial proposal).

- (d) the right to remain silent and the right to be presumed innocent as provided for in Directive 201x/xx/EU of the European Parliament and of the Council to strengthen the presumption of innocence and the right to be present at trial in criminal proceedings,
  - (e) the right to legal aid as provided for in Directive 201x/xx/EU of the European Parliament and of the Council on the right to provisional legal aid for citizens suspected or accused of a crime and for those subject to a European Arrest Warrant.
3. Without prejudice to the rights provided in this Chapter, suspects and accused persons as well as other persons involved in the proceedings of the European Public Prosecutor's Office shall have all the procedural rights available to them under the applicable national law.

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